



September 14, 2021
078/2021-VNC

EXTERNAL COMMUNICATION

Listed B3 Participants

Re: **Inclusion and Exclusion of Customer Names on the List of Defaulters**

We hereby inform you that, according to information received from market participants, **Allan Van den Broek** (Individual Taxpayer No. CPF/MF 032.227.660-88), **Anderson Félix Neto** (Individual Taxpayer No. CPF/MF 218.721.918-60), **Breno Silva Santos** (Individual Taxpayer No. CPF/MF 417.542.998-06), **David Oliveira da Cruz** (Individual Taxpayer No. CPF/MF 227.997.928-47), **Edemir Honório** (Individual Taxpayer No. CPF/MF 834.238.349-00), **Elaine Antunes do Prado** (Individual Taxpayer No. CPF/MF 279.846.788-80), **Eliane Crishima Ferreira Sá** (Individual Taxpayer No. CPF/MF 990.338.871-34), **Emanuel Henrique de Medeiros Freitas Marques** (Individual Taxpayer No. CPF/MF 845.598.103-20), **EX CAPITAL PARTICIPAÇÕES LTDA** (Corporate Taxpayer No. CNPJ/MF 28.632.427/0001-21), **Gabriel de Jesus Lemos da Silva** (Individual Taxpayer No. CPF/MF 613.106.103-39), **Gentilli Diego Inácio Pereira** (Individual Taxpayer No. CPF/MF 052.173.064-32), **Hugo Fernandes Neres** (Individual Taxpayer No. CPF/MF 004.315.921-44), **Jihad Mohamad Alawie** (Individual Taxpayer No. CPF/MF 008.722.169-18), **João Vítor Inácio Machado** (Individual Taxpayer No. CPF/MF 460.726.118-18), **Leandro Nort de Oliveira** (Individual Taxpayer No. CPF/MF 112.707.419-93), **Leticia Molina** (Individual Taxpayer No. CPF/MF 425.671.378-66), **Lucas de Jesus Cardoso Izaias** (Individual Taxpayer No. CPF/MF 117.132.936-92), **Lucas Lima de Carvalho** (Individual Taxpayer No. CPF/MF 048.156.773-95), **Marcelo Antonio Silva de Araujo** (Individual Taxpayer No. CPF/MF 068.425.303-85), **Marcio Luiz da Silva Xisto** (Individual Taxpayer No. CPF/MF 055.639.157-86), **Marlon Santos** (Individual Taxpayer No. CPF/MF 019.555.930-43), **Maurilio José de Menezes** (Individual

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Taxpayer No. CPF/MF 422.488.256-68), **Melgibison da Silva Alves** (Individual Taxpayer No. CPF/MF 709.273.844-00), **Natalia Fernandes Mendes Rodrigues** (Individual Taxpayer No. CPF/MF 350.953.588-08), **Nelson de Oliveira Machado Junior** (Individual Taxpayer No. CPF/MF 009.926.282-70), **Noel Macedo Santos** (Individual Taxpayer No. CPF/MF 044.486.045-27), **Osmar Gomes da Silva** (Individual Taxpayer No. CPF/MF 939.074.784-87), **Ozeas Garcez Junior** (Individual Taxpayer No. CPF/MF 022.763.601-51), **Paula Dorcelina Vilaça** (Individual Taxpayer No. CPF/MF 361.028.496-04), **Paulo Cesar Leandro Junior** (Individual Taxpayer No. CPF/MF 042.319.561-11), **Silvio Cesar de Souza Santos Filho** (Individual Taxpayer No. CPF/MF 108.493.894-45), **Sócrates de Freitas** (Individual Taxpayer No. CPF/MF 382.840.898-23), **Wellington da Silva** (Individual Taxpayer No. CPF/MF 069.179.149-08), **Wellington Lacerda Medino** (Individual Taxpayer No. CPF/MF 061.964.513-08) and **Wellington Zucoloto Silva** (Individual Taxpayer No. CPF/MF 410.198.138-81) have failed to settle transactions carried out at B3.

We also inform you that, according to information received from market participants, **Amarildo Junior Ferreira Sampaio Santos** (Individual Taxpayer No. CPF/MF 041.447.861-45), **Antonio Igor da Costa Brito** (Individual Taxpayer No. CPF/MF 659.559.943-00), **Arthur Henrique Andrade da Silva** (Individual Taxpayer No. CPF/MF 119.466.656-65), **Bruno Fernando Christino Henrique** (Individual Taxpayer No. CPF/MF 319.385.098-54), **Carlos Leal Barbosa** (Individual Taxpayer No. CPF/MF 066.408.174-62), **Claudia Sueni de Mesquita Holanda Lima** (Individual Taxpayer No. CPF/MF 966.843.723-34), **CLUBE DE INVESTIMENTO RIO CAPITAL ACCESS** (Corporate Taxpayer No. CNPJ/MF 37.246.335/0001-02), **Cristiano Pantaleão da Silva** (Individual Taxpayer No. CPF/MF 218.468.268-37), **Daniel da Rocha Moreira** (Individual Taxpayer No. CPF/MF 109.766.036-24), **David Ferreira dos Santos** (Individual Taxpayer No. CPF/MF 280.745.698-70), **Diego Romão de Souza** (Individual Taxpayer No. CPF/MF 331.803.598-03), **Eder Antonio Giroto** (Individual Taxpayer No. CPF/MF 327.254.748-76), **Eduardo José Coli Junior** (Individual Taxpayer No. CPF/MF 292.518.198-82), **Ernani Kluppell Lourenço** (Individual Taxpayer No. CPF/MF 041.349.469-13), **Fagner Rodrigo Souza Brock** (Individual Taxpayer No. CPF/MF 001.229.510-85), **Fernando Lucas Guilherme Pinto** (Individual Taxpayer No. CPF/MF 063.353.506-02), **Fernando Secaf de**

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Andrade Rebello (Individual Taxpayer No. CPF/MF 298.063.048-96), **Gerson Zarpelão Junior** (Individual Taxpayer No. CPF/MF 310.468.368-97), **Glauco Enes Silva Marques** (Individual Taxpayer No. CPF/MF 227.927.648-80), **Gustavo Parreira Silva** (Individual Taxpayer No. CPF/MF 029.759.521-04), **Jadiel Almeida Silva** (Individual Taxpayer No. CPF/MF 359.231.848-93), **João Carlos Pereira Silva** (Individual Taxpayer No. CPF/MF 082.047.466-52), **João Cleber Generoso** (Individual Taxpayer No. CPF/MF 286.141.938-58), **Jocimar Soares Silveira** (Individual Taxpayer No. CPF/MF 835.858.932-87), **José dos Santos** (Individual Taxpayer No. CPF/MF 058.092.588-91), **Leandro Pereira** (Individual Taxpayer No. CPF/MF 008.444.480-01), **Luan Vinícius da Silva** (Individual Taxpayer No. CPF/MF 236.115.228-21), **Lucas Skowronski Stolze** (Individual Taxpayer No. CPF/MF 044.081.565-74), **Luiz Claudio Dantas dos Santos** (Individual Taxpayer No. CPF/MF 730.901.586-04), **Luiz Claudio Moreira Santiago** (Individual Taxpayer No. CPF/MF 767.106.536-68), **Marcio Takeshi Matsuguma** (Individual Taxpayer No. CPF/MF 026.494.159-40), **Marcus Vinícius Tornich** (Individual Taxpayer No. CPF/MF 413.277.708-14), **Paulo Cesar Franco Vecchiatti Junior** (Individual Taxpayer No. CPF/MF 010.311.449-19), **Rafael Migliani Moya Rios** (Individual Taxpayer No. CPF/MF 319.470.018-92), **Renan Rodrigues dos Santos de Jesus** (Individual Taxpayer No. CPF/MF 100.344.969-71), **Renato Alex Santos Borba** (Individual Taxpayer No. CPF/MF 088.255.506-50), **Ricardo Fernandes de Souza** (Individual Taxpayer No. CPF/MF 291.191.228-45), **Rodney Rony Santos** (Individual Taxpayer No. CPF/MF 345.202.978-69), **Rodrigo Garcia Aguiar** (Individual Taxpayer No. CPF/MF 755.221.280-20), **Rogério Luis Marques** (Individual Taxpayer No. CPF/MF 279.686.418-96), **Sebastião Ferreira de Lacerda** (Individual Taxpayer No. CPF/MF 683.081.757-91), **Thayna Gabriely Santos Guimarães** (Individual Taxpayer No. CPF/MF 063.080.451-60), **Thiago de Almeida Galvão Silva** (Individual Taxpayer No. CPF/MF 407.510.388-90), **Valmir Comério** (Individual Taxpayer No. CPF/MF 793.578.307-15), **Wallas Brenon Alves Arrais** (Individual Taxpayer No. CPF/MF 051.509.403-05) and **Wanderson Burger da Costa** (Individual Taxpayer No. CPF/MF 144.975.477-52) are no longer on the list of defaulters.

Finally, we clarify that the inclusion and exclusion of defaulting customers in External Communication is following art. 5, §1 of CVM Resolution 36/2021 and with art. 56 of

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CVM Instruction 461/07; and with item 10 of the B3 Operating Procedures Manual, so that the disclosure of the name and CPF of the principals is in accordance with the terms of the General Law for the Protection of Personal Data, in the terms of item II of art. 7 of Law 13.709/2018 (LGPD).

This External Communication 078/2021-VNC of September 14, 2021, will come into force on **September 15, 2021**.

Mario Palhares
Chief Operating Officer – Electronic Trading and CCP

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